JOURNALS READ AND PUBLISH LICENCE AGREEMENT FOR

OPTICS INFOBASE

OPTICA PUBLISHING GROUP, a company having its registered office at 2010 Massachusetts Avenue NW, Washington, DC 20036 USA (“Publisher”).

OFFERS to you, (the “Institution”), permission to publish open access articles in the Licensed Titles as noted in schedule 2, access the Licensed Material and use such material only on the terms and conditions as set out in this Licence.

Acceptance of this Licence will be by receipt of the Order Confirmation Email (as defined below) by the Publisher as part of the Licence Subscriptions Manager (as defined below). Acceptance shall be acceptance of all terms and conditions of this Licence and no variation or counter offer will be accepted by the Publisher. In the event that no or partial compliance is made as to the manner or form described for acceptance, no licence will be granted and this offer is deemed withdrawn.

BACKGROUND

A. Optics InfoBase provides access to 18 journals; *Optics & Photonics News* (OPN), conference papers and associated videos from Topical Meetings, access to 11 archival eBooks (new in 2022) plus complimentary access to all backfile content associated with the titles included in this package for the term of the agreement. (“Optics InfoBase”). Optics InfoBase Premium offers access to the same content as the Optics InfoBase package plus the conference papers and associated videos from all of our major meetings, Optics ImageBank, and Lasers ebook, OSA Century of Optics ebook, and four OSA Centennial ebooklets (“Optics InfoBase Premium”). E-Combination 1 offers access to select journals as identified in Licence Schedule 2 (“E-Combination 1”). Optics InfoBase packages and all intellectual property rights therein are owned by or duly licensed to the Publisher. Publisher’s online journals and their contents, including abstracts, are copyrighted or licensed by Publisher in the case of partner journals and are subject to all applicable copyright, database protection and other rights of Publisher as copyright owner and publisher under the laws of the United States and other countries. Copyright notices in the Publisher online journals may not be removed, obscured, or modified in any way.

B. The Publisher has agreed with Jisc Collections (as defined below) to offer in accordance with the Offer (in Licence Annex 1) to provide access to Optics InfoBase, Optics InfoBase Premium, or E-Combination 1 as selected and ordered by the Institution in accordance with this Licence.

C. This Licence is based on the Jisc model licence for journals. It offers the rights to access and use the Licensed Material, along with rights to publish Version of Record (as defined below) articles, immediately open access under CC BY terms in the Publisher’s journals as noted in schedule 2.
D. Jisc Collections (as defined below) has developed an online ordering service, the ‘Licence Subscriptions Manager’, by which nominated persons can place, order, and manage subscriptions online on behalf of their institution, which will be used in connection with this Licence.

E. The Publisher has agreed with Jisc Collections in the Optics InfoBase Agreement between Jisc Collections and the Publisher to use this Licence as the model for any agreement between the Publisher and a Subscribing Institution, as defined in the Read and Publish Agreement in relation to the Offer.

IT IS AGREED AS FOLLOWS

1 DEFINITIONS

1.1 In this Licence, the following terms shall have the following meanings:

“Annual Maintenance Fee” means the fee payable by the Institution for Continuing Access Rights.

“Authentication Information” means IP addresses, passwords, user names and any other information necessary to access Licensed Material by means of the Secure Authentication.

“Authorised User” means an individual who is authorised by the Institution to have access to its information services (whether on-site or off-site) via Secure Authentication and who is:

- a current student registered with the Institution (including undergraduates and postgraduates);
- an alumnus of the Institution;
- a contractor of the Institution; or
- a member of staff of the Institution (whether permanent or temporary, including retired members of staff and any teacher who teaches students registered with the Institution); or
- without prejudice to Clause 4.2, a Walk-In User

“Calendar Year” means each year running from 1 January to 31 December.
“Commercial Use” means use for the purpose of earning monetary reward, generating profit or advantage (whether by or for the Institution or an Authorised User) by means of the sale, resale, loan, transfer, licence, hire or other form of exploitation of the Licensed Material, but, for the avoidance of doubt, excluding:

- use for which the Institution is entitled only to be reimbursed its out of pocket costs (which may include overhead costs); and

- use of the Licensed Material in the course of research funded by a commercial or for-profit organisation as long as such use is not for the purpose of earning monetary reward or generating profit or advantage as defined above.

“Confirmation Notice” means, in relation to a notice sent through the Jisc Ordering Platform, the email generated by the platform confirming receipt of the notice and sent to each of the Publisher and the Institution.

“Continuing Access Rights” means the access and licence rights set out in Clause 2.1.2 (in respect of Continuing Licensed Material).

“Continuing Licensed Material” means the Licensed Material in respect of which the Institution shall have Continuing Access Rights, as identified as such in Clause 9.

“Continuing Use Period” means the indefinite period immediately following the expiry of the relevant Subscription Period (including following the Term of this Licence) during which the Institution and Authorised Users are permitted to access and use the Continuing Licensed Material.

“Corresponding Authors” means authors who are Authorised Users other than Walk-In Users who are also the corresponding author of an article published in a Licensed Title. For the avoidance of doubt, there can only be one Corresponding Author per article.

“Data Protection Laws” means the General Data Protection Regulation 2018, the Data Protection Act 2018 and any other law applicable to the UK relating to the protection of personal data and the privacy of individuals, including where applicable guidance and codes of practice issued by the Information Commissioner.
“Educational Purposes” means education, teaching, tuition, training, instruction, learning, private study and/or research, including distance learning and teaching.

“Eligible Article” means articles that have been accepted for publication in an applicable Licensed Title by Corresponding Authors who are authorised by the Institution to submit the article to the Publisher under the terms of this Licence.

“Eligible Body” means further and higher education institutions, academic affiliates and research councils in the UK as listed at https://subscriptionsmanager.jisc.ac.uk/about/jisc-banding and updated from time to time.

“Funder” means the body or bodies (if any) under whose funding terms the Eligible Article or other relevant content was prepared, or the work on which it is based was carried out.

“Jisc Collections” means Jisc Services Limited (operating as Jisc Collections) (Company Number 2881024), a company limited by guarantee incorporated in England and Wales whose registered office is at 4 Portwall Lane, Bristol BS1 6NB.

“Licence Fee” means the fee payable for access to and use of the Licensed Material as set out in this Licence and in the Quote (if any), and may comprise a fee (as the context requires):

- for access to and use during the Subscription Period of Licensed Material including the Maintained Titles; and/or
- for archival access to and use after the end of the Subscription Period of Continuing Licensed Material (including archived articles); and/or
- for upgrading rights in Licensed Material which is not at the relevant time Continuing Licensed Material to be Continuing Licensed Material.

“Licence Subscriptions Manager” means the online subscription ordering service developed by Jisc Collections (the Licence Subscriptions Manager can be found at https://subscriptionsmanager.jisc.ac.uk/#) or any such service as may replace the Licence Subscriptions Manager.
“Licensed Material” means those articles or other parts of a Licensed Title which form part of the content licensed in accordance with the Order (including all content published during the Subscription Period or other period specified in the Order to which access and use rights are granted under this Licence, and including all Previously Subscribed Material).

“Licensed Title” means a title (whether individual, forming part of a standard collection or forming part of a custom collection) which the Institution has selected for use under this Licence (whether individually or as part of a collection) and for which the Institution has placed an Order which has been accepted as confirmed by an Order Confirmation.

“Mount” means to copy to or install on a computer, computer network or system.

“Open Access Article” means an article or other published content by a Corresponding Author that is accessible or submitted on the basis that it will be accessible immediately on an open access basis under CC BY terms.

“Order” means an order placed by the Institution in relation to Licensed Titles by means of completing the Subscription Order and Acceptance Form and submitting the same on the Jisc Ordering Platform.

“Order Confirmation” means the generation of the Order Confirmation Email to each of the Institution, Jisc Collections and Publisher.

“Order Confirmation Email” means, in the case of Orders placed on the Jisc Ordering Platform, the emails to each of the Institution, Jisc Collections and the Publisher automatically generated by the Jisc Ordering Platform after the Institution has placed an Order, confirming that the Institution has placed the relevant order, the start and end date of the Subscription Period and that the Institution has agreed to the terms and conditions of this Licence.

“Permitted Use” means use in accordance with Clause 3 subject to the restrictions in Clause 4.

“Personal Data” means personal data as defined in the Data Protection Laws.
“Previously Subscribed Material” means the journal titles subscribed to by the Institution under any previous or predecessor licence for Optics InfoBase, in particular the Previously Subscribed Material listed in the Quote.

“Prohibited Act” means, directly or indirectly (a) to offer, promise or give any person working for or engaged by any party to the Licence a financial or other advantage to: (i) induce that person to perform improperly a relevant function or activity; or (ii) reward that person for improper performance of a relevant function or activity; (b) to directly or indirectly request, agree to receive or accept any financial or other advantage as an inducement or a reward for improper performance of a relevant function or activity in connection with this Licence; (c) committing any offence: (i) under the Bribery Act 2010 (or any legislation repealed or revoked by such Act); (ii) under legislation or common law concerning fraudulent acts; (iii) defrauding, attempting to defraud or conspiring to defraud; (d) any activity, practice or conduct which would constitute one of the offences listed under (c) above if such activity, practice or conduct had been carried out in the UK.

“Publisher Platform” means the computing platform operated by or on behalf of the Publisher, including a cloud or virtual platform, on which the Licensed Material is hosted and can be accessed.

“Secure Authentication” means access by EduGAIN, Internet Protocol (“IP”) ranges or by username and password provided by the Institution or by other authentication means reasonably agreed between the Publisher and the Institution.

“Secure Network” means a network or virtual network which or the relevant functionality of which is only accessible to Authorised Users by Secure Authentication.

“Start Date” means the start date as specified in the Order.

“Subscription Order and Acceptance Form” means the form used for Orders placed on the Licence Subscriptions Manager. A sample copy of the form is attached hereto in Licence Annex 2 to this Licence and may be modified as necessary. Once the completed form has been submitted by the Institution, the Jisc Ordering Platform automatically generates the Order Confirmation Emails.

“Subscription Period” means the period from the Start Date until the expiry date stated in an Order.
“Subscription Year” means the period from the Start Date until the expiry of the first Calendar Year, and each subsequent Calendar Year thereafter during the Subscription Period.

“Term” means the term of this Licence in accordance with Clause 15 for which Publisher has been paid a fee.

“Transaction Management Charge” means the fee payable to Jisc Collections in connection with arranging and managing this licence as set out in Licence Schedule 1.

“Version of Record” means the final typeset and edited version of the article published in a journal.

“Walk-In Users” means individuals, who are not otherwise Authorised Users, who are allowed by the Institution to access its information services from computer terminals or by other means (including wirelessly), from within the physical premises of the Institution.

“Working Day” means a day other than a Saturday, Sunday or public holiday in England or the United States when banks in London and Washington DC are not open for business.

1.2 Clause, Schedule and Annex headings shall not affect the interpretation of this Licence.

1.3 The Schedules and Annexes form part of this Licence and shall have effect as if set out in full in the body of this Licence. Any reference to this Licence includes the Licence Schedules and Licence Annexes.

1.4 Unless the context otherwise requires, words in the singular shall include the plural and vice versa.

1.5 A reference to a statute or statutory provision is a reference to it as amended, extended or re-enacted from time to time.

1.6 A reference to writing or written includes email and any written submission made on the Jisc Ordering Platform.

1.7 Any words following the terms “including”, “include”, “in particular”, “for example” or any similar expression shall be construed as illustrative and shall not limit the sense of the words term preceding those terms.

1.8 References to web addresses in this Licence refer to the current web address, and any updated or replacement content/address.
PART 1: LICENCE TERMS FOR ACCESS AND USE OF THE LICENSED MATERIAL

2 LICENCE GRANT

2.1 The Publisher hereby grants to the Institution:

2.1.1 a non-exclusive, non-transferable right and licence for the Subscription Period:

(i) to access and make Permitted Use of the Licensed Material; and

(ii) to permit Authorised Users to access and make Permitted Use of the Licensed Material; and

2.1.2 in respect of Continuing Licensed Material (if any), a perpetual, irrevocable, non-exclusive rights and licence:

(i) to access and make Permitted Use of the Continuing Licensed Material; and

(ii) to permit Authorised Users to access and make Permitted Use of the Continuing Licensed Material.

2.2 Except as expressly provided in this Licence, such access shall be on the Publisher Platform through Secure Authentication as long as the Annual Maintenance Fee has been paid, if applicable.

3 PERMITTED USES

3.1 The Institution shall be entitled, for Educational Purposes only:

3.1.1 to communicate, perform, display, download and print parts of, or extracts from, Licensed Material,

3.1.2 to provide Authorised Users with access to the Licensed Material for Educational Purposes via a Secure Network for the purposes set out in Clause 3.2;

3.1.3 to display, download and print the whole of, or parts of, or extracts from, Licensed Material for the purpose of promoting or testing, and training in the use of the Licensed Material;

3.1.4 to convert or adapt Licensed Material into Braille or other formats or forms suitable for providing access to, and use by, Authorised Users with impaired mental and/or physical abilities and to provide access to such converted or adapted form for the purposes of this Clause 3;
3.1.5 to supply to another library in the United Kingdom (whether by post, fax or, provided the electronic file is deleted immediately after printing, secure electronic transmission), a single copy of the whole of, or parts of or extracts from, Licensed Content, and to download such Licensed Material for such purpose;

3.1.6 to provide single printed or electronic copies of individual articles or other parts of Licensed Material to individual Authorised Users, at their request, for Educational Purposes;

3.1.7 to make and distribute copies of training, teaching or course material reproducing parts of Licensed Material, as may be required by the Institution for the purpose of using the Licensed Material in accordance with Clause 3.2; and

3.1.8 to provide access to and permit use, in accordance with this Licence of, any archived copies of the Licensed Material made in accordance with Licence Schedule 3 (1(b)) and/or 3 (1(c)). If the Publisher so fails to provide such access, and if no such archived copies are maintained, to make and supply to a reasonably agreed recognised secure repository a copy of the Licensed Material in electronic form, for the sole purpose of the repository retaining for PDF use by the Institution and its Authorised Users (without prejudice to any other purpose for which the repository may be permitted by the Publisher to retain the same).

3.2 The Institution shall be entitled to permit Authorised Users, for Educational Purposes only:

3.2.1 to access the Licensed Material by Secure Authentication in order to search, retrieve, display and view the Licensed Material;

3.2.2 to copy and paste, download, print and save electronic or print copies of parts of or extracts from Licensed Material, for individual use or for use in tutorials or study groups;

3.2.3 to copy and paste, download, print, save, convert or adapt copies or parts of or extracts from Licensed Material for the purposes of criticism, review, caricature, parody or pastiche. Authorised Users must specify the source, listing title and author of the extract, title and author of the work, copyright notice, and each publisher of the Licensed Material used in such ways unless this would not be possible for reasons of practicality or otherwise;

3.2.4 to mark-up or comment (electronically or otherwise) parts of Licensed Material (including by tagging, highlighting paragraphs and sentences, bookmarking, inserting hyperlinks, exporting references, and writing personal commentary, and saving the same) for personal use only;
3.2.5 to convert or adapt Licensed Material into formats or forms suitable for providing access to, and use by, Authorised Users with impaired mental and/or physical abilities and to make copies of such converted or adapted Licensed Material for use in accordance with this Clause 3.2;

3.2.6 to incorporate parts of or extracts from the Licensed Material in printed or electronic form in assignments, portfolios, theses, dissertations and other submissions (“Academic Works”), and to make reproductions of the Academic Works for personal use, library deposit and/or to provide to sponsors of the Academic Works. Authorised Users must specify the source, listing title and author of the extract, title and author of the work, copyright notice, and each publisher of the Licensed Material used in the Academic Works;

3.2.7 to incorporate parts of or extracts from the Licensed Material in electronic or printed course packs or management systems to be used in the course of instruction and/or virtual learning and/or research environments hosted on a Secure Network. Authorised Users must specify the title and copyright owner of the Licensed Material used in the course packs;

3.2.8 to display publicly, communicate to the public or perform in public parts of the Licensed Material as part of a presentation at a seminar, conference, or workshop, or other similar event. Authorised Users must specify the source, listing title and author of the extract, title and author of the work, copyright notice, and each publisher of the Licensed Material used in such ways;

3.2.9 to save and/or deposit in perpetuity parts of the Licensed Material in electronic repositories on a Secure Network operated by the Institution, access to and use of which is limited to Authorised Users;

3.2.10 to download and make copies of the whole or any parts of the Licensed Material for the purposes of, and to perform and engage in computational analysis (including text and data mining) using the Licensed Material for the purpose of research and other Educational Purposes but not for Commercial Use, and to permit Authorised Users to distribute and display and otherwise use (publicly or otherwise), other than for Commercial Use, the results, provided that such results do not reproduce the whole or a substantial part of any Licensed Content. Copies of Licensed Content made under this Clause 3.2.10 shall be deleted promptly after the computational analysis has been completed;

3.2.11 to download Licensed Material in whole or in part on a non-systematic basis for the Authorised User’s personal Educational Purposes onto personal computing devices including tablets, e-book readers and laptops, and stand-alone
computers, without any limit in number of the subscribed content but excluding the download of backfile content provided on a complimentary basis. The Publisher makes no warranty as to the suitability of any Licensed Material for use on such devices; and

3.2.12 to provide access to, communicate to, and share material resulting from any use under this Clause 3.2 with other Authorised Users for their use in accordance with this Licence.

3.3 The provisions of this Licence are without limitation to the rights of the Institution or Authorised Users to do any act permitted under the Copyright, Design and Patents Act 1988, including Chapter III (Acts Permitted in relation to Copyright Works) or permitted under any CC-BY or other open access licence applicable to the Licensed Material or otherwise which, apart from the rights granted under this Licence, would not infringe the intellectual property rights in the Licensed Material and, notwithstanding any provision of this Licence, the Institution and Authorised Users shall remain entitled to do any such acts.

3.4 Unless expressly set out in this Clause 3, or in relation to specific Licensed Content or specific Permitted Uses in the Offer, there shall be no limit on the number of Authorised Users to which this Licence (including Clause 3.1 and Clause 3.2) applies.

4 RESTRICTIONS

4.1 Except where this Licence provides otherwise, the Institution shall not, and shall not grant an Authorised User the right to:

4.1.1 sell, resell, redistribute, publish, republish, recompile or sub-license the Licensed Material, in whole or in part, in any form or medium, unless the Publisher has given permission in writing to do so. All rights not expressly granted are reserved to Publisher;

4.1.2 remove, obscure or alter copyright notices, acknowledgements or other means of identification, or disclaimers;

4.1.3 alter or adapt the Licensed Material, including any alteration of the words of Licensed Content or their order, except to the extent necessary to make it perceptible on a computer screen;

4.1.4 display or distribute any part of the Licensed Material on any electronic network, including the internet, other than on a Secure Network;

4.1.5 make any Commercial Use of the Licensed Material (in whole or in part);
4.1.6 use the Licensed Materials (in whole or in part) other than for Educational Purposes;

4.1.7 systematically make print or electronic copies of an excessive number of extracts of the Licensed Material, undertake systematic or cumulative downloading of the Licensed Material, or use robots, spiders, crawlers, or other automated downloading programs, algorithms, or devices to continuously and automatically search, scrape, extract, deep-link, index, or disrupt the use of the Licensed Material for any purpose except as permitted by this Licence.

4.1.8 provide access to and/or permit use of the Licensed Content by anyone, or transmit any part of the Licensed Material by any means to anyone, other than an Authorised User.

4.2 The Institution shall not provide access to Walk-In Users to the Licensed Content at a location other than the Institution’s premises.

4.3 The restrictions in this Clause 4 are subject to Clause 3.3 (Acts permitted by legislation or other rights in relation to copyright works).

5 RESPONSIBILITIES OF THE PUBLISHER

5.1 The Publisher shall:

5.1.1 during the Subscription Period make the Licensed Material; and

5.1.2 during the Continuing Use Period, subject to Clauses 0 and 9.4, make the Continuing Licensed Material available through the Publisher Platform to the Institution and Authorised Users for access, download and Permitted Use.

5.2 During the Subscription Period and, subject to Clause 9, during the Continuing Use Period, the Publisher shall at all times, subject to the Failure of Performance provision:

5.2.1 use all reasonable efforts to ensure that the Publisher Platform or relevant server or servers have adequate capacity and bandwidth to support the usage of the Institution; and

5.2.2 use all reasonable efforts to make the Licensed Material available to the Institution and Authorised Users 24 hours a day (except when routine maintenance is carried out), and to restore access to the Licensed Material as soon as possible after any interruption or suspension of the service;
5.3 During the Subscription Period, the Publisher, in respect of the Licensed Material made available under Clause 5.1:

5.3.1 shall not, without informing the Institution, implement any digital rights management technologies or access management technologies which have a material adverse impact on the performance or usability of the Licensed Material in accordance with this Licence or on the exercise by the Institution of its rights under this Licence, for example by repeatedly requiring an Authorised User to provide active confirmation in relation to their use of or access to the Licensed Material, which impair the usability of DOIs or other links, or which require the downloading of software onto any platform used for accessing or using the Licensed Material;

5.3.2 shall use reasonable efforts to provide support to Authorised Users by e-mail, and assist Authorised Users with general enquiries in connection with the Licensed Material, including relating to access, use, functionality and content of the Licensed Material, and shall use reasonable endeavours to answer any such query within 24 hours of such query being made;

5.3.3 shall provide to the Institution electronic product documentation relating to the Licensed Material which is reasonably sufficient to enable Authorised Users to access and make use of the Licensed Material, which the Institution shall be entitled to copy and distribute, provided such documents are copied in full and such copies include an acknowledgement of the Publisher as the licensor of the Licensed Material;

5.3.4 shall notify the Institution of any Licensed Material that is Open Access Material.

5.4 During the Continuing Use Period, the Publisher in respect of the Continuing Licensed Material made available under Clause 5.1.2 shall notify institutions if it will be implementing any digital rights management technologies.

Withdrawal of publications

5.5 The Publisher reserves the right at any time to withdraw from the Licensed Material any Licensed Material (including any Licensed Title or Licensed Content or part of Licensed Content) ("Withdrawn Material"):  

5.5.1 which the Publisher is no longer entitled to publish; or  

5.5.2 which has converted to full open access and is no longer offered under the subscription model; or
5.5.3 which the Publisher has reasonable grounds to believe infringes copyright or is unlawful.

5.6 The Publisher shall promptly give written notice of such withdrawal of specific titles but not individual articles to the Institution.

5.7 Subject to Clause 5.6, if the Withdrawn Material represents more than five per cent (5%) of the Licensed Material then the Publisher shall, at the Institution’s option:

5.7.1 reimburse such part of the Licence Fee attributable to the Withdrawn Material in respect of the period up to the date of withdrawal as is reasonable in the circumstances having regard to the use made of that material compared with other Licensed Material the subject of this Licence; and reduce the Licence Fee attributable to the period following the date of such withdrawal by such amount as is reasonably attributable to the Withdrawn Material in respect of such remaining period; or

5.7.2 if possible, provide a substitute for the Withdrawn Material acceptable to the Institution.

5.8 If, in the Institution’s reasonable opinion, the withdrawal of the Withdrawn Material results in all Licensed Material which is the subject of this Licence, or any collection of Licensed Materials which are licensed as a collection under this Licence, or any Licensed Title no longer being useful to the Institution or the Authorised Users, the Institution may, by notice to the Publisher, terminate this Licence to all the Licensed Material, or its application to such collection, or Licensed Title as the case may be, with immediate effect. In such case the Publisher shall, within sixty (60) days after the date of such notice, reimburse to the Institution such part of the Licence Fee as is reasonably attributable to the Licensed Material for period following such withdrawal, or in the case of a collection or Licensed Title, reasonably attributable to the collection or Licensed Title for such period following such withdrawal.

6 RESPONSIBILITIES OF THE PUBLISHER: AUTHORISED USERS

6.1 The Publisher shall not require Authorised Users to enter into an end user licence agreement or other terms and conditions of use in connection with their access to or use of the Licensed Material under this Licence or otherwise impose any restrictions on an Authorised User’s use of the Licensed Material other than provided in this Licence. No such end user licence agreement or terms or conditions or restrictions sought to be imposed shall be of any effect; provided that, where the licence the Publisher has in relation to specific Licensed Content has more restricted rights than Permitted Use, it shall make that clear in the Offer and in the Metadata for the Licensed Title or Licensed Content and notify the Authorised User on access to the Licensed Content.
6.2 The Publisher shall not, and shall not seek to, collect Personal Data in relation to any Authorised User other than as is reasonably and properly required for the administration of this Licence, and shall fully comply with its obligations under the applicable Data Protection Laws in relation to the collection, use and retention, and any other processing of any such Personal Data.

7 RESPONSIBILITIES OF THE PUBLISHER: INFORMATION AND LIBRARY MANAGEMENT STANDARDS

7.1 During the Subscription Period, the Publisher shall use reasonable efforts to implement the standards and other provisions of Licence Schedule 3.

8 RESPONSIBILITIES OF INSTITUTION

8.1 The Institution shall:

8.1.1 provide passwords and other confidential Authentication Information only to Authorised Users and take reasonable steps to prevent Authorised Users from providing such Authentication Information to anyone else;

8.1.2 provide to the Publisher lists of valid IP addresses for the purpose of managing access to the Licensed Material and update those lists regularly as agreed by the parties from time to time;

8.1.3 use reasonable efforts to ensure that only Authorised Users are permitted access to the Licensed Material and that Institution and all Authorised Users use the online access granted herein and the accessed materials only in a way that conforms with all applicable laws and regulations;

8.1.4 inform the Authorised Users about the conditions of use of the Licensed Material provided for in this Licence and to the extent that such terms apply to them, use reasonable efforts to ensure that all Authorised Users are made aware of and undertake to abide by the terms of this Licence; and

8.1.5 configure the computer system through which the Licensed Material used by the Institution and Authorised Users, and put in place procedures, in accordance with reasonably appropriate accepted standards, for the purpose of preventing access to the Licensed Material by any person other than an Authorised User.

8.2 The Institution shall use reasonable efforts to monitor compliance with the terms of this Licence and shall promptly notify the Publisher, providing full particulars (to the extent that it is not prohibited by law or contractual obligation from doing so), on becoming aware of any of the following:
8.2.1 any unauthorised access to or use of the Licensed Material or unauthorised use of Authentication Information; or

8.2.2 any act by an Authorised User which gives rise to a breach of this Licence.

8.3 As soon as the Institution is aware of any breach of the terms of this Licence, the Institution shall:

8.3.1 take reasonable steps to investigate such breach for the purpose of ensuring that the relevant activity ceases and preventing any recurrence; and

8.3.2 if the Institution considers this appropriate, take steps against the individual concerned in accordance with the Institution’s disciplinary procedure

8.3.3 but the Institution shall not have any other liability for any breach relating to the security of the Secure Network or use by Authorised Users of any Licensed Material, except to the extent that the same was caused by the negligence or wilful act of Institution.

9 CONTINUING LICENSED MATERIAL

9.1 Once the Institution pays the Annual Maintenance Fee or is determined to be exempt from it, the Institution shall have Continuing Access Rights in respect of:

9.1.1 all Licensed Content published in any Licensed Title during the Subscription Period;

9.1.2 all other Licensed Content published in any Licensed Title to which archival or continuing access rights apply as identified in the Order; and

9.1.3 all Previously Subscribed Material.

The Annual Maintenance Fee will be waived for any year that the Institution subscribes to any of the Publisher’s journals. The Publisher’s membership magazine, Optics and Photonics News, does not qualify as a journal.

9.2 No later than the end of the Subscription Period or other termination or expiry of this Licence, the Publisher shall make the Continuing Licensed Material (including Continuing Licensed Content) available for access and Permitted Use by the Institution and its Authorised Users by means of the Publisher Platform.

9.3 Continuing Licensed Content made available under Clause 9.2 will be provided via PDF format on the Publisher’s Platform and will exclude complimentary access to backfile content provided during the term of the agreement.
9.4 If the Publisher gives written notice that it will no longer provide access on the Publisher Platform in accordance with Clause 9.2 the Publisher shall continue to make the Continuing Licensed Material available by means of the Publisher Platform until the earlier of (a) the expiry of 30 Working Days after the date of any notice or (b) written notice by the Institution that it no longer requires the Publisher to make the Continuing Licensed Material available by means of the Publisher Platform.

9.5 At the start of the Continuing Use Period the Publisher shall provide the Institution with a list confirming all Continuing Licensed Content and all Continuing Licensed Material.

PART 2 : RIGHTS TO PUBLISH OPEN ACCESS ARTICLES

10 OPEN ACCESS PUBLISHING

10.1 In consideration for the Publisher agreeing to permit Corresponding Authors to publish Eligible Articles in the Licensed Titles noted in schedule 2 on the basis of the terms of this Licence, the Institution agrees to pay the Publishing Fee.

10.2 At the end of each year during the term of the agreement, the Parties shall review the Eligible Article counts and make necessary and reasonable adjustments, which shall be confirmed in writing and implemented thereafter.

11 RESPONSIBILITIES OF THE PUBLISHER

11.1 The Publisher agrees to publish Eligible Articles, under the conditions outlined in this Clause 11.

11.2 The Version of Record of all Eligible Articles that follow the terms of this Licence shall be:

(i) made immediately Open Access, as the Version of Record in a Licensed Title on the Publisher Platform with no embargo period;

(ii) published under the terms of the CC-BY licence;

(iii) deposited by the Publisher on acceptance in PubMed Central for Wellcome funded articles, and

(iv) paid for as specified in the Offer.

11.3 Where a UK Funder stipulates the assignment of specific licensing terms to an Eligible Article, the Publisher shall ensure that its licensing terms are set in accordance with such stipulations and the Publisher should make it clear that if a Corresponding Author chooses other licence terms, the published article will not be compliant with the Funder’s policy.
11.4 The Institution (directly and/or via Jisc Collections) can access a report at any time detailing the Eligible Articles published, containing the following information via the Rightslink software:

(i) Journal Title
(ii) Article Title
(iii) Corresponding Author
(iv) Institution Name
(v) DOI

12 RESPONSIBILITIES OF THE INSTITUTION

12.1 The Institution agrees to inform Corresponding Authors that this Licence is in place and that their Eligible Articles can be made Open Access Articles on acceptance in the Licensed Titles.

12.2 The Institution shall ensure that Corresponding Authors shall identify themselves as being eligible, and shall verify the eligibility of a Corresponding Author in the course of the Publisher’s publishing workflow.

13 EDITORIAL INDEPENDENCE

13.1 All parties acknowledge that while the Institution has financial obligations toward the Publisher, that the Institution is in no way involved with the editorial process.

13.2 Nothing herein contained shall oblige the Publisher to publish any article submitted to the Publisher by a Corresponding Author. The Institution acknowledges that the selection of material to be published on the Publisher Platform is entirely at the discretion of the Publisher. The Institution waives any claim it may have against the Publisher in the event that the Publisher refuses or declines to publish any material (or part thereof) submitted by a Corresponding Author.

PART 3 : GENERAL TERMS

14 FEES AND PAYMENT

14.1 The Institution shall pay to the Publisher via Jisc Collections the Licence Fee in the amounts set out in, or calculated in accordance with, Licence Schedule 1. The Institution shall pay to Jisc Collections the Transaction Management Charge.
Upon receipt of the Order Confirmation and on each other date (if any) set out in the Order Confirmation, Jisc Collections shall issue an invoice to the Institution for the relevant Licence Fee(s) or applicable part of the Licence Fee(s).

The Institution shall pay the Licence Fee within 30 days on receipt by the Institution of Jisc Collections’ invoice.

All sums specified under this Licence, unless otherwise stated, are exclusive of VAT and any other similar or equivalent taxes or duties, where applicable, and any applicable VAT will be payable by the Institution.

**TERM AND TERMINATION**

Subject to Clauses 15.2 to 15.5, this Licence shall commence upon the Start Date of the Order, and shall continue, unless terminated earlier in accordance with this Clause 15, until the expiry of the Subscription Period.

The Institution shall have the right to terminate this Licence during the Subscription Period, by giving not less than 60 (sixty) days’ written notice to the Publisher, such notice to expire on at the end of the relevant Subscription Year.

Without affecting any other right or remedy available to it, either party may terminate this Licence with immediate effect by giving written notice to the other party if:

1. the other party becomes insolvent, admits insolvency or a general inability to pay its debts as they become due, has appointed a receiver or administrative receiver over it or over any part of its undertaking or assets, passes a resolution for winding up other than a bona fide plan of solvent amalgamation or reconstruction, files a petition for protection under any applicable bankruptcy code, or has filed against it or becomes subject to an insolvency petition in bankruptcy or an order to that effect;

2. the other party commits a material or persistent breach of any term of this Licence which breach is irremediable or, if such breach is remediable, fails to remedy that breach or threat to the security of the Materials within a period of thirty (30) days after being notified in writing to do so.

Without affecting any other right or remedy available to it, the Institution may terminate this Licence with immediate effect by giving written notice to the Publisher if the Publisher:

1. has committed a breach of Clause 5 and fails remedy that breach within a period of sixty (60) days after being notified in writing to do so; or
15.4.2 is no longer entitled to make the Licensed Material available for access and Permitted Use by the Institution and Authorised Users.

15.5 Without affecting any other right or remedy available to it, the Publisher may terminate this Licence with immediate effect by giving written notice to the Institution if the Institution:

15.5.1 fails to pay any undisputed amount due under this Licence on the due date for payment and remains in default for not less than thirty (30) days after being notified in writing to make such payment;

15.5.2 wilfully infringes, or wilfully permits Authorised Users to infringe, the copyright in the Licensed Material or acts in any way that threatens the security of the Licensed Material; or

15.5.3 has committed a breach of Clause 4 (Restrictions) or Clause 8.1 (Responsibility of Institution) and fails to remedy that breach within a period of thirty (30) days after being notified in writing to do so.

15.5.4 If Publisher terminates this Licence under Clause 15.5, Institution shall not be entitled to a refund or reduction of the Licence Fee.

15.6 For the avoidance of doubt the Institution shall not be deemed to be in breach of this Licence on the grounds that an act of an Authorised User, if carried out by the Institution, would have been a breach of this Licence, without prejudice to any express obligations applicable to the Institution under this Licence.

16 CONSEQUENCES OF TERMINATION

16.1 On expiry or termination of this Licence for any reason and subject to any express provisions set out elsewhere in this Licence (including Clauses 2.1.2 (Licence Grant/Continuing Licensed Material) and 9 (Continuing Licensed Material)):

16.1.1 all rights and licences granted pursuant to this Licence shall cease and the Institution shall cease to access and use, and permit access to and use of, the Licensed Material; and

16.1.2 the Publisher shall cease to make available Licensed Material for access and use by the Institution and Authorised Users

provided that the Institution may retain and use, and permit the use of, copies of parts of the Licensed Material made by the Institution or Authorised Users in accordance with this Licence may be retained, subject to the terms of Clauses 3 and 4 to the extent that these are applicable.
16.2 On any termination by the Institution under Clause 15.3 or Clause 15.4 the Publisher shall reimburse such part of the Licence Fee which has been paid by the Institution and is in respect of any remaining part of the Subscription Period.

16.3 Any provision of this Licence that expressly or by implication is intended to come into or continue in force on or after termination or expiry of this Licence (including Clauses 2, 1.2, 2.2, 4, 5.1.2, 5.2, 5.4 to 5.8, 6, 8, 9, and 16 to 27) shall continue in force.

16.4 Termination or expiry of this Licence shall not affect any rights, remedies, obligations or liabilities of the parties that have accrued up to the date of termination or expiry, including the right to claim damages in respect of any breach of this Licence which existed at or before the date of termination or expiry.

17 ACKNOWLEDGEMENT AND PROTECTION OF INTELLECTUAL PROPERTY RIGHTS

17.1 Subject to Clause 17.2, the Institution acknowledges that all copyright in the Licensed Material are the exclusive property of the Publisher or its licensors and that this Licence does not assign or transfer to the Institution any right, title or interest in such copyright except for the right to access and use the Licensed Material in accordance with the terms and conditions of this Licence.

17.2 The Publisher hereby acknowledges that any copyright and database rights arising from any computational analysis (including any text mining/data mining) of the Licensed Material referred to in Clause 3.2.10 shall, as between the Institution and Authorised User on the one hand, and the Publisher (and any licensor of the Publisher or other rights holder in the Licensed Materials), on the other, be the property of the relevant Authorised Users or the Institution, as the case may be.

18 REPRESENTATION, WARRANTIES AND INDEMNITIES

18.1 The Publisher warrants to the Institution that:

18.1.1 all intellectual property rights in the Licensed Material are owned by or validly licensed to the Publisher and that the Permitted Use of the Licensed Material will not infringe any intellectual property of any person; and

18.1.2 the content of the Licensed Material is not unlawful.

18.2 The Publisher shall indemnify the Institution (for itself and for the benefit of any Authorised Users) against all liabilities, costs, expenses, damages and losses (excluding any indirect, incidental, special, punitive or consequential damages or losses, loss of profit, loss of reputation and all interest, penalties and reasonable legal costs) suffered or incurred by the Institution or Authorised Users arising out of or in connection with any claim by or action brought by any third
party that the access and Permitted Use by the Institution or by any Authorised User in accordance with this Licence infringes the intellectual property rights of that third party.

18.3 In relation to any claim made or action brought to which Clause 18.2 applies, the Institution shall:

18.3.1 promptly give the Publisher written notice;

18.3.2 give the Publisher immediate and complete control of the defence and settlement of such claim provided that the Publisher gives the Institution reasonable security in respect of any liability the Institution may have in respect of such claim or action and any indemnity to which the Institution may be entitled under Clause 18.2; and

18.3.3 give the Publisher all reasonable assistance with the defence and settlement of such claim.

18.4 The indemnity in Clause 18.2 will not apply to the extent that the relevant claim arises as a result of any unauthorized use, change, alteration or amendment in any way to any Licensed Material by the Institution or any Authorised User.

18.5 The Licensed Material is provided "as is". While the Publisher has no reason to believe that there are any inaccuracies or defects in the information contained in the Licensed Material, the Publisher makes no representation and gives no warranty, express or implied, with respect to the quality, performance, compatibility, merchantability or fitness for a particular purpose of the Licensed Material. Publisher shall not be liable for any loss, injury, claim, liability or damage of any kind resulting from the unavailability of the online journals, publisher's performance or termination of this agreement, interruption of the services provided hereunder, or arising out of or in connection with the institution's or authorized user's use of or reliance upon the Licensed Material. Publisher shall not be liable for any indirect, special, incidental, punitive or consequential damages or loss of direct or indirect profits, business, contracts, revenue or anticipated savings or for any increased costs or expenses, even if advised of the possibility of such damages. In no event shall Publisher's liability exceed 150% of the proportional amount paid to Publisher for an institution under this agreement for the current subscription year in which any claim, loss or damage occurred, including without limitation due to negligence. No claim may be made against Publisher unless suit is filed thereon within one (1) year after the event giving rise to the claim.

18.6 In relation to any claim or action referred to in Clause 18.2, or any other claim by a third party of which the Institution becomes aware, that the access to or use of any Licensed Material infringes any copyright, the Publisher may at its option and expense, and on written notice to the Institution (and without prejudice to the Institution's rights under Clause 5.5 (Withdrawing
Material) or Clause 18.2 (Infringement Indemnity), remove such Licensed Material from the Licensed Material or obtain for the Institution the right to continue accessing and using such Licensed Material in accordance with this Licence.

18.7 Nothing in this Licence shall make the Institution liable for any act by any Authorised User which gives rise to a breach of the terms of this Licence, provided that the Institution did not cause or knowingly assist or condone the continuation of such breach after becoming aware of an actual breach having occurred.

18.8 Neither the Institution nor any Authorised User will be liable to the Publisher for:

18.8.1 any special, indirect, incidental, punitive or consequential damages; or

18.8.2 loss of direct or indirect profits, business, contracts, revenue or anticipated savings; or

18.8.3 for any increased costs or expenses.

18.9 No party excludes or limits its liability under this Licence for:

18.9.1 death or personal injury to the extent it results from its negligence, or that of its employees or agents in the course of their engagement; or

18.9.2 its own fraud or that of its employees or agents in the course of their engagement.

19 FAILURE OF PERFORMANCE

19.1 Following online access approval, the Institutions and Authorised Users will be permitted to attempt access to the Licensed Material at any time. Publisher will not be liable for any delay, downtime, transmission error, software or equipment incompatibilities, force majeure or other failure of performance. Publisher may have contracted with one or more outside agencies to provide the online service and Publisher shall seek to ensure that all services are provided by outside agencies as negotiated. Publisher will use commercially reasonable efforts to correct any material performance problem brought to its attention and may suspend performance pending such correction. Publisher’s responsibility to the Institutions and Authorised Users does not extend beyond such endeavours.

20 FORCE MAJEURE

20.1 Without prejudice to Clause 18.2, neither party shall have any liability under or be deemed to be in breach of this Licence for any failure to perform any term or condition of this Licence which
result from circumstances beyond the reasonable control of such party, including war, strikes, flood, pandemic, epidemic, governmental restrictions, power, telecommunications or Internet failures or damage to or destruction of any network facilities not arising from an act or omission of such party or its employees or contractors ("Force Majeure Event").

20.2 Each party shall promptly notify the other party in writing of any Force Majeure Event which is causing delay or failure in performance of such party’s obligations under this Licence, or will or is likely to do so, including the date on which it started, its likely or potential duration, and the effect of the Force Majeure Event on its ability to perform any of its obligations under this Licence, and use all reasonable endeavours to mitigate the effect of the Force Majeure Event on the performance of its obligations.

20.3 Provided it has complied with Clause 20.2, if a party is prevented, hindered or delayed in or from performing any of its obligations under this Licence by a Force Majeure Event ("Affected Party"), the Affected Party shall not be in breach of this Licence or otherwise liable for any such failure or delay in the performance of such obligations. The time for performance of such obligations shall be extended accordingly.

20.4 If a Force Majeure Event prevents, hinders or delays the Affected Party’s performance of its obligations for a continuous period of more than 60 (sixty) days, the party not affected by the Force Majeure Event may terminate this Licence by giving 14 days’ written notice to the Affected Party.

21 ASSIGNMENT

21.1 Subject to Clauses 21.2 and 21.3, this Licence is personal to the parties and neither party shall assign, transfer, mortgage, charge, subcontract, declare a trust over or deal in any other manner with any of its rights and obligations under this Licence, without the prior written consent of the other party, such consent shall not be unreasonably withheld or delayed.

21.2 If the Institution merges with any other Eligible Body or transfers the whole or part of its activities as an Eligible Body to another Eligible Body, the Institution or successor Institution (as the case may be), and the Authorised Users who were Authorised Users through the Institution can request a quote to continue access to the merged entity and its Authorized Users

21.2.1 in respect of the Licensed Material, for the remainder of the current Subscription Period; and

21.2.2 in respect of the Continuing Licensed Material, in perpetuity.

21.3 If the Publisher is subject to any merger or acquisition, or if the Publisher or any licensor or other owner of any rights in any Licensed Material relevant to the licences or rights granted under this Licence transfers or grants any rights inconsistent with the Institution’s rights under
this Licence, this Licence shall continue in effect, and the Publisher shall procure that any such transfer or grant of rights is subject to the Institution’s rights under this Licence or that the Institution is compensated in such amount as may be fair and reasonable in the circumstances in respect of the loss of any such rights which cannot be preserved for the Institution.

21.4 In any assignment to which the other party has given consent under Clause 21.1, the assigning party shall procure and ensure that the assignee shall assume all rights and obligations of the assigning party under this Licence and agrees to be bound to all the terms of this Licence.

22 GOVERNING LAW AND JURISDICTION

22.1 This Licence and any dispute or claim (including non-contractual disputes or claims) arising out of or in connection with it or its subject matter or formation shall be governed by and construed in accordance with the law of England and Wales.

22.2 The parties irrevocably agree that any dispute arising out of or in connection with this Licence will be subject to and within the jurisdiction of the courts of England and Wales.

23 DISPUTE RESOLUTION

23.1 If any dispute arises out of or in connection with this Licence or the performance, validity or enforceability of it (“Dispute”), the parties shall attempt to settle it by negotiation. To this end they shall use their respective reasonable endeavours to consult or negotiate with each other in good faith, and recognising their mutual interests, attempt to reach a just and equitable settlement satisfactory to both parties. Negotiations shall be conducted between the Managing Director (or equivalent position) of the Publisher, or its nominated representative, and the current Vice Chancellor (or equivalent post) of the Institution, or their nominated representative.

23.2 If the dispute cannot be resolved by the parties within one month of being escalated as referred to in Clause 23.1, the dispute may by agreement between the parties be referred to a neutral adviser or mediator (the “Mediator”) chosen by agreement between the parties. All negotiations connected with the dispute shall be conducted in confidence and without prejudice to the rights of the parties in any further proceedings.

23.3 Where the parties agree that a Dispute would best be resolved by the decision of an independent expert, they may agree upon the nature of the expert required, on the appointment of the expert and, with the expert, the terms of his appointment.

23.4 Any person to whom a reference is made under Clause 23.3 shall act as expert and not as an arbitrator and his decision (which shall be given by the expert in writing and shall state the reasons for the decision) shall be final and binding on the parties except in the case of manifest error or fraud.
23.5 Each party shall provide such expert with such information and documentation as the expert may reasonably require for the purposes of forming his or her decision.

23.6 The costs of the expert shall be borne by the parties in such proportions as the expert may determine to be fair and reasonable in all circumstances or, if no determination is made by the expert, by the parties in equal proportions.

23.7 Subject to Clause 23.1, except where urgent interim measures are sought, and 23.4, nothing in this Clause 23 shall prevent either party commencing or continuing court proceedings in relation to the Dispute under Clause 21.

24 NOTICES

24.1 Any notice given to a party under or in connection with this Licence shall be in writing and shall be sent by email and special delivery by international courier (such as DHL or FedEx) on the same day, with tracking and signature receipt upon delivery at its address set out below:

24.1.1 if to the Publisher: Elizabeth Nolan

Deputy Executive Director and Chief Publishing Officer

2010 Massachusetts Avenue NW

Washington, DC 20036

USA

Email: Email to both: enolan@optica.org and legal@optica.org and labelled (in the Subject line) as Jisc Contract Notice.

24.1.2 if to the Institution: as stated in the Subscription Order and Acceptance Form

24.2 Any notice or communication shall be deemed to have been received:

24.2.1 if sent by email, on delivery of the email (as evidenced by a delivery receipt) if delivered during working hours on a Working Day, and otherwise on the first Working Day following delivery; or if sent by special delivery (e.g. DHL, FedEx) on delivery as confirmed by the signed receipt.

24.3 This clause does not apply to the service of any proceedings or other documents in any legal action or, where applicable, any arbitration or other method of dispute resolution.

25 PREVENTION OF FRAUD AND CORRUPTION

25.1 Each party represents and warrants that neither it, nor to the best of its knowledge any of its employees, agents or contractors have at any time prior to the Start Date:
25.1.1 committed a Prohibited Act or been formally notified that it is subject to an investigation or prosecution which relates to an alleged Prohibited Act; and/or

25.1.2 been listed by any government department or agency as being debarred, suspended, proposed for suspension or debarment, or otherwise ineligible for participation in government procurement programmes or contracts on the grounds of a Prohibited Act.

25.2 Neither party during the term of this Licence shall offer, give, or agree to give anything, to any person an inducement or reward for doing, refraining from doing, or for having done or refrained from doing any act in relation to the obtaining or execution of this Licence or for showing or refraining from showing favour or disfavour to any person in relation to this Licence.

25.3 Each party shall take all reasonable steps in accordance with good industry practice to prevent fraud by its employees, and the party (including its shareholders, members and directors), in connection with this Licence and shall notify the other party in writing immediately if it has reason to suspect that any fraud is occurring or is likely to occur.

25.4 If a party or its staff engages in conduct prohibited by Clause 25.1 or commits fraud in relation to this Licence, the other shall be entitled to terminate this Licence and seek appropriate damages.

26 **THIRD PARTY RIGHTS**

26.1 Except as expressly provided elsewhere in this Licence, a person who is not a party to this Licence is not intended to have any rights to, and shall not have any rights under the Contracts (Rights of Third Parties) Act 1999 to enforce any term of this Licence.

26.2 The rights of the parties to terminate, rescind or agree any variation, waiver or settlement under this Licence are not subject to the consent of any other person, and a person who is not a party to this Licence shall not be entitled to require its consent to any amendment.

27 **GENERAL**

27.1 This Licence its Schedules and Annexes constitute the entire agreement between the parties and supersede all prior communications, promises, assurances, warranties, representations and understandings and agreements (whether written or oral) relating to its subject matter, but without prejudice to any continuing rights and obligations arising under any prior formal licence agreements between the Institution and the Publisher.

27.2 This Licence may not be amended or modified except by agreement of both parties in writing.

27.3 Nothing in this Licence shall be construed to create any relationship of partnership, agency or employment between any of the parties.
27.4 If any provision or part-provision of this Licence is or becomes invalid, illegal or unenforceable, it shall be deemed modified to the minimum extent necessary to make it valid, legal and enforceable. If such modification is not possible, the relevant provision or part-provision shall be deemed deleted. Any modification to or deletion of a provision or part-provision under this clause shall not affect the validity and enforceability of the rest of this Licence.

27.5 The rights of the parties arising under this Licence shall not be waived except in writing. Any waiver of any of a party’s rights under this Licence or of any breach of this Licence by the other party shall not be construed as a waiver of any other rights or of any other or further breach. Failure by either party to exercise or enforce any rights conferred upon it by this Licence shall not be deemed to be a waiver of any such rights or operate so as to bar the exercise or enforcement thereof at any subsequent time or times.

**LICENCE SCHEDULE 1**

**LICENCE FEE**

**Licence Fee:**
The Licence Fee will be in accordance with the Quote, as set out in the Offer.
# LICENCE SCHEDULE 2

## Licensed Material

E-Combination 1, Optics InfoBase, and Optics InfoBase Premium Package Content

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<td>Journal of the Optical Society of Korea * (formerly Journal of Optical Communications &amp; Photonics)</td>
<td>2093-6885 Online</td>
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* Partner journal.
** N/A in the “Publish” column denotes non-journal content that is included in the read component only.
*** Complimentary access to the backfile for each package is only provided during the term of the agreement and is not part of continuing access to content subscribed to during the Agreement.
LICENCE SCHEDULE 3

INDUSTRY STANDARDS AND RELATED OBLIGATIONS

1. The Publisher agrees to use all reasonable efforts to implement the following industry standards to enhance access and use of the Licensed Material and shall:

   (a) provide the Institution with usage statistics in a spreadsheet format prepared by a trusted third party COUNTER provider, organised by month;

   (b) archive the Licensed Material to ensure that it is preserved for future scholarship in an archiving solution (such as Portico, Clockss or Lockss), and inform the Institution in which of the archiving solutions the Licensed Material may be found;

   (c) subject to paragraph 1(b), provide the means for the Institution to continue to access the Licensed Material via an archiving service for use only in the event that the Publisher ceases trading or any of the provisions of Clauses 15.3 or 15.4 apply to the Publisher, and inform the Institution where the Licensed Material has been archived, and provide the Institution with sufficient authority and information to enable the Institution to access such Licensed Material for the purposes of Clause 3.1.8 (Access where Publisher fails to provide it);

   (d) use all reasonable efforts to meet the W3C standards (www.w3.org/WAI/Resources/#in) to ensure that the Licensed Material is accessible to all Authorised Users;

   (e) use all reasonable efforts to meet the Open URL Standard (https://www.niso.org/publications/z3988-2004-r2010) to ensure that Authorised Users can search for and find the Licensed Titles and Licensed Content;

   (f) use all reasonable efforts to keep to the Code of Practice of Project Transfer (www.projecttransfer.org) to ensure that journal content remains easily accessible by the Institution and its Authorised Users when there is a transfer of material between parties, and to ensure that the transfer process occurs with minimum disruption;

   (g) use all reasonable efforts to provide relevant information to third party discovery tools (such as Scopus, Summon), in accordance with NISO recommendations (https://groups.niso.org/apps/group_public/download.php/14820/rp-19-2014_ODI.pdf); and

* urls and web addresses are for guidance and may change
This Offer is identical to the offer included in Error! Reference source not found. of the Read and Publish Agreement between Optica Publishing Group and Jisc Collections dated 30 June 2022.

## Detailed Pricing Document

### 2022 Jisc Collections Journals Open Access Agreement

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<th>Publisher:</th>
<th>Optica (formerly OSA), 2010 Massachusetts Ave. NW Washington, DC 20036, USA.</th>
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<td>Product Name:</td>
<td>Optica Publishing Group Read and Publish Transitional Agreement 2022-24</td>
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<tr>
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<td>Optica Publishing Group Read and Publish Transitional Agreement 2022-24</td>
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</table>
| Product Description: | A read and publish agreement to one of Optica Publishing Group’s three journal packages:  
- E-Combination 1  
- Optics InfoBase  
- Optics InfoBase Premium |

### 1. General Information

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<th>Agreement term</th>
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<td>Start and End date</td>
<td>01 Jan 2022 to 31 Dec 2024</td>
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<td>Eligible Institutions/group</td>
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<td>Order deadline</td>
<td>30 June 2022</td>
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<td>Route to OA compliance</td>
<td>Transitional Agreement</td>
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<td>Model type</td>
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| Basis for fees | Fees are quotation based.  
Read fees are based on unlimited read access to one of 3 Optica Publishing Group packages  
Publish fees are based on average article output 2018-2021 at a discounted APC rate  
The Publish fee covers open access publishing for corresponding authors at participating institutions under CC-BY licence and in accordance with UKRI & Wellcome policy. Publish fees cover publishing in journals indicated on the content lists for each package found appended to the agreement. |
This is unlimited in the first year of the agreement and to be assessed for the second year.

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**VAT status**

Following changes to VAT in 2020, Jisc advisors have confirmed that for transitional agreements there can be a separate supply of the zero-rated read element and the standard rated publish element. To achieve this:

- There should be no reference in the underlying agreements to there being one combined product – it should be clear that the read and publish elements are distinct (albeit it is acknowledged that they complement each other)
- The breakdown of the pricing to institutions between read and publish components should be separately defined in the agreements. This split needs to be supportable, in particular to mitigate the risk of any deemed of “value shifting” between the standard rated publish supply and the zero-rated read supply. The view of Jisc advisors is that a split which is based on historical charges is acceptable, e.g. where this is based on amounts charged historically in respect of ‘read’ subscriptions and APCs.
- The breakdown of the charge for the read and publish components should then be separately reflected on the invoice to institutions
- Where there is a difference between value allocated to the read and publish elements by the publisher to the split allocated by Jisc, this should not be an issue given that Jisc and the publisher are not connected, provided that, as noted above, the basis for the split of the read and publish components for the charges can be supported

<table>
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| Collections covered | Institutions have unlimited read access to one of the following journal packages:
  - E-Combination 1
  - Optics InfoBase
  - Optics InfoBase Premium |
| Post cancellation rights | An annual fee will be charged for providing continuing access. Any institutions that continue to subscribe to any Optica journals (excluding Optics & Photonics News), will have the fee waived. |
| Dark Archive | Via Portico |
| Downgrades | No |

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**Green Open Access Policy**

Authors have the right to post the Author Accepted version of the Work on their personal non-commercial website or the servers of their institutions or employers. In the case of institutional repositories, access to the repository is limited solely to the institutions’ employees and direct affiliates (e.g., students, faculty), and the repository does not depend on payment for access, such as subscription or membership fees. Authors are permitted to post the Author Accepted version of the Work in an open institutional repository after a minimum 12-month embargo. Any such posting of the Author Accepted version made after publication of the Work shall include a link to the online abstract in the Journal and the copyright notice.

**Rights Retention Statement position**

Optica Publishing Group will work with authors who are not covered by the transitional agreement on a case-by-case basis to ensure their papers are published in the most suitable journal and according to their funders requirements.

**Participation in Publications Router**

No

**PubMed Central (PMC) and Europe PMC**

Version of Record automatically deposited by the online publication date of VOR and no later than 3 months after the acceptance date for Wellcome funded authors. UKRI funded authors may deposit their own AAM in a repository of their choice according to the Green Open Access Policy outlined above.

### Additional details:

#### 2. Material on offer

**Material consists of:**

Institutions already subscribing to one of the three eligible packages must either maintain their current package subscription or upgrade to a larger package.

Institutions will have access to one of the following packages:
E-Combination 1
Optics InfoBase
Optics InfoBase Premium
Title list per package is attached to the Licence.

Back files / back issues included in the Offer: Complimentary access to backfiles for applicable titles in package selected included during the agreement term.
Confirm order - subscriptions to be invoiced by Jisc Collections

Please choose a payment type for each product listed below. Depending on the products in your basket you may have the option to pay in advance for future years. If you have selected to pay by credit or debit card, we can only process these payments against existing (GBP) invoice(s) that are automatically generated from this order.

Agreement type: consortia agreements

Products with a currency of GBP

Consortia Agreement (with defined start and end date)  £

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SECTION TOTAL:  £

Including VAT and GST where applicable

SUBTOTAL:  £

Designated Site Representatives

Select up to three designated site representatives that you would like to associate with this order:

- Site Representative

Consortia agreements and licence acceptance

☐ I am authorized by my institution to make this order. By clicking on the 'Confirm and Place Order' button I (on behalf of my institution) acknowledge that I have read and understood the licence(s) listed below and agree to be bound by the terms and conditions of such licence(s). I agree that my institution will pay the subscription fee(s) due from the invoice(s) generated from this order no later than thirty (30) days after being issued by Jisc Collections or the publisher of the relevant journal.

LICENCE AGREEMENT:

☐ By clicking on the 'Confirm and Place Order' button I (on behalf of my institution) acknowledge that I have read and understood the licence(s) listed below and agree to be bound by the terms and conditions of such licence(s). It should be noted that by clicking on the 'Confirm and Place Order' button, your institution is legally bound to pay for each licence in full and that the full details of such licences as set out in the licence agreement form.